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7 Attorneys for the SRA Funds Investor Group

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

JOHN V. BIVONA; SADDLE RIVER
ADVISORS, LLC; SRA MANAGEMENT
LLC; FRANK GREGORY MAZZOLA,

Defendants, and

SRA I LLC; SRA II LLC; SRA III LLC;
FELIX INVESTMENTS, LLC; MICHELE J.
MAZZOLA; ANNE BIVONA; CLEAR
SAILING GROUP IV LLC; CLEAR
SAILING GROUP V LLC,

Relief Defendants.

Case No: 3:16-cv-01386-EMC

**THE SRA FUNDS INVESTOR GROUP'S
 NOTICE OF EXCHANGE OF
 PROPOSED ALTERNATIVE
 DISTRIBUTION PLAN**

Date: October 23, 2018
 Time: 1:30 PM
 Courtroom: 5
 Judge: Hon. Edward M. Chen

1 In accordance with the Court’s August 16, 2018 Minute Order (Dkt. No. 395), interested
2 party the SRA Funds Investor Group (the “Investor Group”) hereby advises the Court that it has
3 exchanged the Investor Group’s Proposed Alternative Distribution Plan by emailing a copy to
4 counsel for the Receiver, the SEC, Global Generation Group, LLC, Progresso Ventures, LLC, and
5 Telesoft Capital, LLC. Based on the wording of the Court’s August 18 Order directing the parties
6 to “exchange” plans, the Investor Group has not filed a copy of its Alternative Distribution Plan with
7 the Court, but will do so promptly upon the Court’s request.

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DATED: August 30, 2018

Respectfully submitted,
PRITZKER LEVINE LLP

By: /s/ Jonathan K. Levine
Jonathan K. Levine
Elizabeth C. Pritzker
Bethany Caracuzzo

Attorneys for the SRA Funds Investor
Group